

Clark Barwick: Redshift and higher categories

Today, we'll talk about the *redshift conjectures*. Morally, these say that invariants like K-theory increase chromatic complexity. We'll begin by trying to make this a little more precise.

Definition 1. A spectrum E is of *telescopic complexity* $\leq n$ at the prime p if, for any p -local finite spectrum V of type $\geq n$, $\pi_* V \wedge E \xrightarrow{\cong} \pi_* T \wedge E$ for $* \gg 0$, where $T = Tel(v_n)$, the unique mapping telescope of a v_n -self map

Note that the thick subcategory theorem implies that every spectrum *has* a telescopic complexity, and moreover that that telescopic complexity is *unique*.

Now, here is a conjecture.

Conjecture 1. *The iterated K-theory $K^{(n)}(\mathbb{C}) = K(K(\dots(K(\mathbb{C}))\dots))$ has telescopic complexity exactly n at every prime p .*

We immediately remark that we have the following theorem in this direction.

Theorem 1 (Suslin, Baas–Dundas–Richter–Rognes). *This is true for $n \leq 2$.*

Note that $K(\mathbb{C})$ is just KU , or rather this is true after p -completion (and possibly taking connective covers?). Then, $K(ku)$ is a form of elliptic cohomology, i.e. it has telescopic complexity 2.

We believe that we currently have the tools to solve this conjecture. Today, we'll address the sub-question: What are the operations on $K^{(n)}(\mathbb{C})$? (This is all joint work with Saul Glasman.)

We first remark that we can consider \mathbb{C} as an E_∞ -ring spectrum (lol), and so these iterated K-theories are all sensible – they're E_∞ -rings too.

We now begin with an algebraic digression. Let us attempt to understand the endomorphisms of the forgetful functor $U : \mathbf{Alg}_{\mathbb{C}} \rightarrow \mathbf{Set}$. Since this is representable, we find that $\text{End}(U) \cong U(\mathbb{C}[x])$. This is an isomorphism of rings, first of all. But formal nonsense implies that $\mathbb{C}[x]$ must also admit a *co- \mathbb{C} -algebra* structure (in \mathbb{C} -algebras), and with this structure it represents the identity functor. But then, there's even more structure: if we have two morphisms we can *compose* them, and this yields a monoid structure on $\mathbb{C}[x]$, given by composition of functions: $(p, q) \mapsto p \circ q$. (Given $A \in \mathbf{Alg}_{\mathbb{C}}$, $p \in \mathbb{C}[x]$ acts on UA by $a \mapsto p(a)$.)

This all leads us to the following definition.

Definition 2 (Borger–Wieland, following Tall–Wraith). A *plethory* over a ring R (or an *R -plethory*) is a co- R -algebra P in R -algebras, along with a monoid structure that enhances the functor $W_P : \mathbf{Alg}_R \rightarrow \mathbf{Alg}_R$ into a comonad.

These form a category, and it's actually not hard to see that $\mathbb{C}[x]$ is the *initial* \mathbb{C} -plethory.

There are other examples of plethories; we give some now.

Example 1. Define Λ to be the algebra of symmetric functions (say over \mathbb{Z}). Its comonad (i.e. composition) structure is essentially what's called the *Artin–Hesse map*. Inside of here we have the “ p -typical” part $\Lambda(p)$.

We will see the relevance of these examples shortly. But we need one more observation. Note that $\mathbb{C}[x]$ acts simultaneously on all the \mathbb{C} -algebras, so it makes sense to ask for an *action* of a plethory. This motivates the following.

Definition 3. An *algebra* over a plethory P is a coalgebra over W_P .

Example 2. Since $\mathbb{C}[x]$ acts on all \mathbb{C} -algebras in a unique way, every \mathbb{C} -algebra admits a unique structure of an algebra over the plethory $\mathbb{C}[x]$.

We can now explain the notation.

Example 3. $W_\Lambda(A)$ is the ring of big Witt vectors on A ; in this language, a Λ -algebra is precisely a λ -algebra! Moreover, $W_{\Lambda(p)}(A)$ is of course the ring of p -typical Witt vectors, and a $\Lambda(p)$ -algebra is a “ δ ring relative to p ” (following Joyal's terminology) or a “ θ_p -algebra” (following Bousfield).

Now, we can celebrate the fact that we have *new foundations* for algebraic topology. Nothing changes if we're sufficiently categorical; we can replace abelian groups with spectra, rings with E_∞ -ring spectra, etc. In fact, we can even *categorify* this story, which is what we'll do now.

However, rather than try to construct sophisticated examples, we'll simply muse on the generalities.

Definition 4. We define $\mathbf{Perf}_{\mathbb{C}}$ to be the $((\infty, 1)$ -category of perfect $H\mathbb{C}$ -modules – that is, of $H\mathbb{C}$ -modules with finitely many homotopy groups, all of which are finite-dimensional.

Note that $\mathbf{Perf}_{\mathbb{C}}$ is also *symmetric monoidal*, i.e. it's a ring object in the $(\infty, 2)$ -category of $(\infty, 1)$ -categories. In fact, this lives in $\mathbf{Cat}_{(\infty, 1)}^{rex}$, the symmetric monoidal $(\infty, 2)$ -category of idempotent-complete $(\infty, 1)$ -categories admitting all finite colimits (whose monoidal structure is the *categorical tensor product*, i.e. the object corepresenting functors that preserve colimits in each variable (so one should think of the finite colimits as the “addition”). And so $\mathbf{Perf}_{\mathbb{C}}$ is a *commutative algebra* in this $(\infty, 2)$ -category.

Now, let's run the same story as we did before. Namely, we look at the forgetful functor

$$U : \mathbf{CALg}_{\mathbf{Perf}_{\mathbb{C}}} := \mathbf{CALg}(\mathbf{Cat}_{(\infty, 1)}^{rex})_{\mathbf{Perf}_{\mathbb{C}}} / \rightarrow \mathbf{Cat}_{(\infty, 1)}.$$

So, what is $\mathbf{End}(U)$?

Example 4. Given any \mathbb{C} -variety, the perfect complexes of quasicoherent sheaves will form an object of the source.

Now, we begin by looking for the *free symmetric monoidal* $(\infty, 1)$ -category – but this is just Σ , the 1-groupoid of finite sets and automorphisms. Then, we want to understand the full subcategory $\mathbf{Perf}_{\mathbb{C}}[x] \subset \mathbf{Fun}(\Sigma, \mathbf{Perf}_{\mathbb{C}})$ spanned by those F such that $F(I) = 0$ for $|I| \gg 0$ (i.e., symmetric sequences that eventually peter out).

Since we're looking at *arbitrary* functors $\Sigma \rightarrow \mathbf{Perf}_{\mathbb{C}}$, this functor category has the *Day convolution* symmetric monoidal structure. To describe this, suppose we have $F, G : \Sigma \rightarrow \mathbf{Perf}_{\mathbb{C}}$. Then we define $F \otimes G$ to be the *left Kan extension* in the diagram

$$\begin{array}{ccc} \Sigma \times \Sigma & \xrightarrow{F \times G} & \mathbf{Perf}_{\mathbb{C}} \times \mathbf{Perf}_{\mathbb{C}} \\ \downarrow \otimes & & \downarrow \otimes \\ \Sigma & \xrightarrow{F \otimes G} & \mathbf{Perf}_{\mathbb{C}} \end{array}$$

(If one really hated their audience, they could write $F \otimes G := \otimes_!(\otimes \circ (F \times G))$.) We can also describe this explicitly: $(F \otimes G)(I) \cong \text{colim}_{I \cong J \sqcup K} F(J) \otimes G(K)$.

Here is a result; we don't know who to ascribe it to, but surely it's been known for a long time.

Theorem 2. $U(\mathbf{Perf}_{\mathbb{C}}[x]) \cong \mathbf{End}(U)$.

This is for the same reason we discussed: this corepresents the forgetful functor. (In fact, note that the composition on $\mathbf{Perf}_{\mathbb{C}}[x]$ is just the composition product of symmetric sequences!) From this, we get the following.

Corollary 1. $\mathbf{Perf}_{\mathbb{C}}[x]$ is the initial plethory in $\mathbf{CALg}_{\mathbf{Perf}_{\mathbb{C}}}$.

Good! Let's take its K-theory. Here's a theorem, which looks trivial but actually takes a lot of work to prove.

Theorem 3. *The K-theory of a plethory is a plethory.*

(K-theory behaves nicely with respect to algebraic structures, but not with respect to coalgebraic structures a priori. Rather, this comes from its universal property.)

Thus, we obtain

$$\mathbf{CALg}_{\mathbf{Perf}_{\mathbb{C}}} \xrightarrow{K} \mathbf{CALg}_{K(\mathbb{C})},$$

and this takes plethories to plethories. Let's find out where $\mathbf{Perf}_{\mathbb{C}}[x]$ goes. Let's begin at level 0: we just have that $K_0(\mathbf{Perf}_{\mathbb{C}}[x]) = \Lambda$. (This might be ultimately due to MacDonald, in an appendix to his book.) Let's prove this. We know that

$$K_0(\mathbf{Perf}_{\mathbb{C}}[x]) \cong \text{colim}_n \bigoplus_{i=1}^n K_0(\mathbf{Rep}_{\mathbb{C}}[\Sigma_i]) \cong \bigoplus_{n \geq 0} \mathbf{Rep}[\Sigma_n].$$

The punchline is the following: categorification takes a trivial plethory to a nontrivial plethory. This is just like redshift, which says that applying K-theory makes things more interesting!

Let's continue by observing that Λ admits Adams operations; passing to $\Lambda(p)$, we see that this contains a group that's dense in \mathbb{Z}_p^\times . Whereas we have $\mathbf{Perf}_{\mathbb{C}}[x]$ acting on $\mathbf{Perf}_{\mathbb{C}}$, then we get an action of $K(\mathbf{Perf}_{\mathbb{C}}[x])$ on $K(\mathbb{C})$, which reduces to the standard Adams operations action of Λ on $K_0(\mathbb{C})$. Completing at a prime p , we obtain something we'll call

$$K(\mathbf{Perf}_{\mathbb{C}}[x])_p^\wedge =: ku\lambda_p.$$

After p -completion, the K-theory of any symmetric monoidal ∞ -category has an action of this plethory. Moreover, it's not hard to compute: $\pi_*ku\lambda_p = ku_* \otimes \Lambda_p^\wedge$.

In our last few minutes, we'll sketch how we go higher – not to $n = 2$, but to arbitrary n . To do this, we just need to replace 1 with n and 2 with $n + 1$. So, we now consider $\mathbf{Cat}_{(\infty, n)}^{rex}$, the $(\infty, n + 1)$ -category of (∞, n) -categories which are *Morita-complete* (the higher-categorical analog of idempotent-complete) and admit all finite (∞, n) -colimits (in the lax sense). From this, we define $\mathbf{Perf}_{\mathbb{C}}^{(n)}$ inductively: $\mathbf{Perf}_{\mathbb{C}}^{(0)} = H\mathbb{C}$, and $\mathbf{Perf}_{\mathbb{C}}^{(n)}$ is symmetric monoidal $(\infty, 1)$ -category of dualizable modules over $\mathbf{Perf}_{\mathbb{C}}^{(n-1)}$; that is,

$$\mathbf{Perf}_{\mathbb{C}}^{(n)} \in \mathbf{CAlg}(\mathbf{Cat}_{(\infty, n)}^{rex}).$$

Now, here's a fact: $K^{(n)}(\mathbb{C}) \simeq K(\mathbf{Perf}_{\mathbb{C}}^{(n-1)})$. (There's a notion of K-theory for which the right side makes sense and the equivalence is true.)

We can now attempt to classify all the operations here: the forgetful functor $U : \mathbf{CAlg}_{\mathbf{Perf}_{\mathbb{C}}^{(n-1)}} \rightarrow \mathbf{Cat}_{(\infty, n)}$, and we can take $K(\mathrm{End}(U))$, and this will act canonically on the K-theory of any object of the source (in particular, its initial object).

This is the part where we'd like to have a punch-line – we'd like to be able to even compute K_0 . But we can't do it, and we'd like to explain why. Down at $n = 1$, we had Maschke's theorem, which allows us to decompose the representations. But this fails at $n = 2$: we don't know that we have this for $\mathbf{Perf}_{\mathbb{C}}^{(2)}[x]$ (e.g. for “2-vector spaces”). Thus, we end with a question: Is there a useful analog of Maschke's theorem over $\mathbf{Perf}_{\mathbb{C}}$? We do know that $K(\mathbf{Perf}_{\mathbb{C}}^{(2)}[x])$ acts canonically on $K^{(2)}(\mathbb{C})$, which is supposed to be some form of elliptic cohomology. So maybe we can't compute all of K_0 , but it's a plethory, and we can look for a submonoid that's dense in the Morava stabilizer group \mathbb{S}_2 . For instance, Behrens–Lawson construct such a dense submonoid using isogenies of elliptic curves. It'd be really great to see that appearing here.

David Ben-Zvi asks an interesting related question; watch the video online to hear about it (something about Kac–Moody algebras and the failure of Maschke's theorem in certain contexts).